



**Lincolnshire**  
Integrated Care Board

# **NHS Lincolnshire Integrated Care Board**

## **CONSTITUTION**

**Version 4 (as approved October 2024)**

## Document Control Sheet

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# 1. Introduction

## 1.1 Background/ Foreword

Integrated care systems (ICSs) are partnerships that bring together providers and commissioners of NHS services across a geographical area with local authorities and other local partners to collectively plan health and care services to meet the needs of their population.

ICSs are part of a fundamental shift in the way the health and care system is organised. Following several decades during which the emphasis was on organisational autonomy, competition and the separation of commissioners and providers, ICSs depend instead on collaboration and a focus on places and local populations as the driving forces for improvement.

They exist to achieve four aims:

- Improve outcomes in population health and healthcare.
- Tackle inequalities in outcomes, experience and access.
- Enhance productivity and value for money.
- Help the NHS support broader social and economic development

Each ICS is comprised of an Integrated Care Board (ICB), NHS Providers, the local authorities, and other local partners in a given geographical area. An Integrated Care Board has the function of arranging for the provision of services for the purposes of the health service in accordance with the National Health Service Act 2006 and the Health and Care Act 2022.

The ICB will use its resources and powers to achieve demonstrable progress on these aims, collaborating to tackle complex challenges, including:

- Improving the health of children and young people
- Supporting people to stay well and independent
- Acting sooner to help those with preventable conditions
- Supporting those with long-term conditions or mental health issues
- Caring for those with multiple needs as populations age
- Getting the best from collective resources so people get care as quickly as possible.

The Integrated Care Board and each responsible local authority whose area coincides with or falls wholly or partly within the Board's area must establish a Joint Committee known as an Integrated Care Partnership. The ICP must prepare an Integrated Care Strategy setting out how the assessed needs in relation to its area are met. The Terms of Reference for the ICP and the Health and Wellbeing Board, a local authority function, will be aligned to this Constitution to ensure the ICS meets the health and care needs of the population in its area and delivers its agreed vision of 'Better Lives for the people of Lincolnshire'. The name of the ICS is 'Better Lives Lincolnshire'.

The following partner organisations are part of the Lincolnshire ICS:

- East Midlands Ambulance Service NHS Trust (EMAS)
- Lincolnshire Community Health Services NHS Trust (LCHS)
- Lincolnshire Partnership NHS Foundation Trust (LPFT)
- United Lincolnshire Teaching Hospitals NHS Trust (ULHT)
- Lincolnshire County Council (LCC)

## **1.2 Name**

1.2.1 The name of this Integrated Care Board is NHS Lincolnshire Integrated Care Board (“the ICB”).

## **1.3 Area Covered by the Integrated Care Board**

1.3.1 The geographical area covered by the ICB is Lincolnshire which is served by seven District and Borough Councils (Borough of Boston, District of East Lindsey, City of Lincoln, District of North Kesteven, District of South Holland, District of South Kesteven, District of West Lindsey and led by one upper tier Local Authority which are fully coterminous and supported by the registered primary care practice populations in Lincolnshire.

1.3.2 The upper tier Local Authority is Lincolnshire County Council.

## **1.4 Statutory Framework**

1.4.1 The ICB is established by order made by NHS England under powers in the 2006 Act.

1.4.2 The ICB is a statutory body with the general function of arranging for the provision of services for the purposes of the health service in England and is an NHS body for the purposes of the 2006 Act.

1.4.3 The main powers and duties of the ICB to commission certain health services are set out in sections 3 and 3A of the 2006 Act. These provisions are supplemented by other statutory powers and duties that apply to ICBs, as well as by regulations and directions (including, but not limited to, those made under the 2006 Act).

1.4.4 In accordance with section 14Z25(5) of, and paragraph 1 of Schedule 1B to, the 2006 Act the ICB must have a Constitution, which must comply with the requirements set out in that Schedule. The ICB is required to publish its constitution (section 14Z29). This Constitution is published at [www.lincolnshire.icb.nhs.uk](http://www.lincolnshire.icb.nhs.uk)

1.4.5 The ICB must act in a way that is consistent with its statutory functions, both powers and duties. Many of these statutory functions are set out in the 2006 Act but there are also other specific pieces of legislation that apply to ICBs.

Examples include, but are not limited to, the Equality Act 2010 and the Children Acts.

Some of the statutory functions that apply to ICBs take the form of general statutory duties, which the ICB must comply with when exercising its functions. These duties include but are not limited to:

- a) Having regard to and acting in a way that promotes the NHS Constitution (section 2 of the Health Act 2009 and section 14Z32 of the 2006 Act);
- b) Exercising its functions effectively, efficiently and economically (section 14Z33 of the 2006 Act);
- c) Duties in relation children including safeguarding, promoting welfare etc (including the Children Acts 1989 and 2004, and the Children and Families Act 2014):
- d) Adult safeguarding and carers (the Care Act 2014):
- e) Equality, including the public-sector equality duty (under the Equality Act 2010) and the duty as to health inequalities (section 14Z35); and
- f) Information law, (for instance, data protection laws, such as the UK General Data Protection Regulation 2016/679 and Data Protection Act 2018, and the Freedom of Information Act 2000):
- g) Provisions of the Civil Contingencies Act 2004.

1.4.6 The ICB is subject to an annual assessment of its performance by NHS England which is also required to publish a report containing a summary of the results of its assessment.

1.4.7 The performance assessment will assess how well the ICB has discharged its functions during that year and will, in particular, include an assessment of how well it has discharged its duties under:

- a) section 14Z34 (improvement in quality of services),
- b) section 14Z35 (reducing inequalities),
- c) section 14Z38 (obtaining appropriate advice),
- d) section 14Z40 (duty in respect of research)
- e) section 14Z43 (duty to have regard to effect of decisions)
- f) section 14Z45 (public involvement and consultation),
- g) sections 223GB to 223N (financial duties), and
- h) section 116B(1) of the Local Government and Public Involvement in Health Act 2007 (duty to have regard to assessments and strategies).

1.4.8 NHS England has powers to obtain information from the ICB (section 14Z60 of the 2006 Act) and to intervene where it is satisfied that the ICB is failing, or has failed, to discharge any of its functions or that there is a significant risk that it will fail to do so (section 14Z61).



## 1.5 Status of this Constitution

- 1.5.1 The NHS Lincolnshire ICB was established on 1<sup>st</sup> July 2022 by ‘The Integrated Care Boards (Establishment) Order 2022’, which made provision for its Constitution by reference to this document.
- 1.5.2 Changes to this Constitution will not be implemented until, and are only effective from, the date of approval by NHS England.

## 1.6 Variation of this Constitution

- 1.6.1 In accordance with paragraph 15 of Schedule 1B to the 2006 Act this Constitution may be varied in accordance with the procedure set out in this paragraph. The Constitution can only be varied in two circumstances:
- a) where the ICB applies to NHS England in accordance with NHS England’s published procedure and that application is approved; and
  - b) where NHS England varies the Constitution of its own initiative, (other than on application by the ICB).
- 1.6.2 The procedure for proposal and agreement of variations to the Constitution is as follows:
- a) The Chief Executive may periodically propose minor amendments to the Constitution which shall be actioned and submitted to NHS England.
  - b) Where the changes are thought to have a material impact then consultation will need to take place with the ICB Chair and relevant Partner organisations as detailed in Section 1.1. The proposed changes will then be presented to the ICB for approval prior to submission to NHS England.
  - c) Proposed amendments to this Constitution will not be implemented until an application to NHS England for variation has been approved.

## 1.7 Related Documents

- 1.7.1 This Constitution is also supported by a number of documents which provide further details on how governance arrangements in the ICB will operate.
- 1.7.2 The following are appended to the Constitution and form part of it for the purpose of clause 1.6 and the ICB’s legal duty to have a Constitution:
- a) **Standing Orders**– which set out the arrangements and procedures to be used for meetings and the processes to appoint the ICB Committees.

1.7.3 The following do not form part of the Constitution but are required to be published.

- a) **The Scheme of Reservation and Delegation (SoRD)** – sets out those decisions that are reserved to the board of the ICB and those decisions that have been delegated in accordance with the powers of the ICB and which must be agreed in accordance with and be consistent with the Constitution. The SoRD identifies where, or to whom functions, and decisions have been delegated to.
- b) **Functions and Decision map** – a high level structural chart that sets out which key decisions are delegated and taken by which part or parts of the system. The Functions and Decision map also includes decision making responsibilities that are delegated to the ICB (for example, from NHS England).
- c) **Standing Financial Instructions** – which set out the arrangements for managing the ICB’s financial affairs.
- d) **The ICB Governance Handbook** – This brings together all the ICB’s governance documents, so it is easy for interested people to navigate. It includes:
  - The above documents (a - c).
  - Terms of reference for all Committees and Sub-Committees of the board that exercise ICB functions.
  - Delegation arrangements for all instances where ICB functions are delegated, in accordance with section 65Z5 of the 2006 Act, to another ICB, NHS England, an NHS trust, NHS foundation trust, local authority, combined authority or any other prescribed body; or to a Joint Committee of the ICB and one of those organisations in accordance with section 65Z6 of the 2006 Act.
  - Terms of reference of any Joint Committee of the ICB and another ICB, NHS England, an NHS trust, NHS foundation trust, local authority, combined authority or any other prescribed body; or to a Joint Committee of the ICB and one or those organisations in accordance with section 65Z6 of the 2006 Act.
  - The up to date list of eligible providers of primary medical services under clause 3.6.2
  - Scheme of Reservation and Delegation
  - Committee Terms of Reference Review Dates
  - Financial Procedure Limits
  - Committee Handbook (including Terms of Reference templates, Board paper templates)

- e) **Key policy documents** – which should also be included in the Governance Handbook or linked to it – including:
- Standards of Business Conduct and Conflicts of Interest Policy and Procedures
  - Policy for Public Involvement and Engagement

## 2 Composition of the Board of the ICB

### 2.1 Background

- 2.1.1 This part of the Constitution describes the membership of the Integrated Care Board. Further information about the criteria for the roles and how they are appointed is in Section 3.
- 2.1.2 Further information about the individuals who fulfil these roles can be found on our website [www.lincolnshire.icb.nhs.uk](http://www.lincolnshire.icb.nhs.uk)
- 2.1.3 In accordance with paragraph 3 of Schedule 1B to the 2006 Act, the membership of the ICB (referred to in this Constitution as “the board” and members of the ICB are referred to as “board Members”) consists of:
- a) a Chair
  - b) a Chief Executive
  - c) at least three Ordinary members.
- 2.1.4 The membership of the ICB (the Board) shall meet as a unitary Board and shall be collectively accountable for the performance of the ICB’s functions.
- 2.1.5 As per NHS England Policy, the ICB has appointed the following additional Ordinary Members:
- a) three Executive members, namely:
    - Director of Finance
    - Medical Director
    - Director of Nursing (Chief Nurse)
  - b) At least two Non-Executive Members
  - c) One Executive Board Mental Health Member
  - d) Director for System Delivery
- 2.1.6 The Ordinary Members include at least three members who will bring knowledge and a perspective from their sectors. These members (known as Partner Members) are nominated by the following, and appointed in accordance with the procedures set out in Section 3 below:
- NHS Trusts and Foundation Trusts who provide services within the ICB’s area and are of a prescribed description.
  - The Primary Medical Services (General Practice) providers within the area of the ICB and are of a prescribed description.
  - The local authorities which are responsible for providing Social Care and whose area coincides with or includes the whole or any part of the ICB’s area.

While the Partner Members will bring knowledge and experience from their sector and will contribute the perspective of their sector to the decisions of the board, they are not to act as delegates of those sectors.

## **2.2 Board Membership**

2.2.1 The ICB has Three Partner Members.

- a) Local Authority
- b) NHS Trusts and Foundation Trusts
- c) Primary Medical Services

2.2.2 The ICB has also appointed the following further Ordinary Members: to the board

- a) Four additional Non-Executive Members (in addition to the two Non-Executive Members detailed under Section 2.1.5
- b) Executive Mental Health Member detailed under Section 2.1.5.
- c) Director for System Delivery detailed under Section 2.1.5

2.2.3 The Board is therefore composed of the following members:

- a) Chair
- b) Chief Executive
- c) One Partner Member NHS and Foundation Trusts
- d) One Partner Member Primary Medical Services
- e) One Partner Member Local Authority
- f) Six Non-Executive Members (one of which, but not the Audit Committee Chair, will be appointed Deputy Chair, and one of which, who may be the Deputy Chair or the Audit Committee Chair, will be appointed as the Senior Non-Executive Member).
- g) Director of Finance
- h) Medical Director
- i) Director of Nursing
- j) Executive Board Mental Health Member
- k) Director for System Delivery

2.2.4 The Chair will exercise their function to approve the appointment of the ordinary members with a view to ensuring that at least one of the Ordinary Members will have knowledge and experience in connection with services relating to the prevention, diagnosis and treatment of mental illness.

2.2.5 The Board will keep under review the skills, knowledge, and experience that it considers necessary for members of the board to possess (when taken together) in order for the board effectively to carry out its functions and will take such steps as it considers necessary to address or mitigate any shortcoming.

## **2.3 Regular Participants and Observers at Board Meetings**

2.3.1 The Board may invite specified individuals to be Participants or Observers at its meetings in order to inform its decision-making and the discharge of its functions as it sees fit.

2.3.2 Participants will receive advanced copies of the notice, agenda and papers for board meetings. They may be invited to attend any or all of the Board meetings, or part(s) of a meeting by the Chair.

Any such person may be invited, at the discretion of the Chair to ask questions and address the meeting but may not vote.

They are:

- a) Senior NHS Directors of the ICB (separate to those listed in Section 2.1.5 and as set out in the ICB Governance Handbook)
- b) Chair of the Integrated Care Partnership
- c) Senior Healthwatch Representative
- d) Director of Public Health or Senior Public Health Representative
- e) Representative of the Voluntary and Care Sector

2.3.3 Observers will receive advanced copies of the notice, agenda, and papers for board meetings. They may be invited to attend any or all of the Board meetings, or part(s) of a meeting by the Chair. Any such person may not address the meeting and may not vote.

2.3.4 Participants and/or observers may be asked to leave the meeting by the Chair in the event that the Board passes a resolution to exclude the public as per the Standing Orders.

## **3 Appointments Process for the Board**

### **3.1 Eligibility Criteria for Board Membership:**

3.1.1 Each member of the ICB must:

- a) Comply with the criteria of the “fit and proper person test”.
- b) Be committed to upholding the Seven Principles of Public Life (known as the Nolan Principles).
- c) Fulfil the requirements relating to relevant experience, knowledge, skills and attributes set out in a role specification.
- d) All individuals appointed to roles on the Board are responsible for familiarising themselves with the eligibility and ineligibility requirements confirming their eligibility prior to appointment and immediately notifying the Chair of the ICB of a change of circumstances that may render them no longer eligible.

### **3.2 Disqualification Criteria for Board Membership**

3.2.1 A Member of Parliament.

3.2.2 A person whose appointment as a Board Member (“the candidate”) is considered by the person making the appointment as one which could reasonably be regarded as undermining the independence of the health service because of the candidate’s involvement with the private healthcare sector or otherwise.

3.2.3 A person who, within the period of five years immediately preceding the date of the proposed appointment, has been convicted -

- a) in the United Kingdom of any offence, or
- b) outside the United Kingdom of an offence which, if committed in any part of the United Kingdom, would constitute a criminal offence in that part, and, in either case, the final outcome of the proceedings was a sentence of imprisonment (whether suspended or not) for a period of not less than three months without the option of a fine.

3.2.4 A person who is subject to a bankruptcy restrictions order or an interim bankruptcy restrictions order under Schedule 4A to the Insolvency Act 1986, Part 13 of the Bankruptcy (Scotland) Act 2016 or Schedule 2A to the Insolvency (Northern Ireland) Order 1989 (which relate to bankruptcy restrictions orders and undertakings).

3.2.5 A person who, has been dismissed within the period of five years immediately preceding the date of the proposed appointment, otherwise than because of redundancy, from paid employment by any Health Service Body.

- 3.2.6 A person whose term of appointment as the chair, a member, a director or a governor of a health service body, has been terminated on the grounds:
- a) that it was not in the interests of, or conducive to the good management of, the health service body or of the health service that the person should continue to hold that office
  - b) that the person failed, without reasonable cause, to attend any meeting of that health service body for three successive meetings,
  - c) that the person failed to declare a pecuniary interest or withdraw from consideration of any matter in respect of which that person had a pecuniary interest, or
  - d) of misbehaviour, misconduct or failure to carry out the person's duties;
- 3.2.7 A healthcare professional, meaning an individual who is a member of profession regulated by a body mentioned in section 25(3) of the National Health Service Reform and Health Care Professions Act 2022, or or other professional person who has at any time been subject to an investigation or proceedings, by any body which regulates or licenses the profession concerned ("the regulatory body"), in connection with the person's fitness to practise or any alleged fraud, the final outcome of which was—
- a) the person's suspension from a register held by the regulatory body, where that suspension has not been terminated
  - b) the person's erasure from such a register, where the person has not been restored to the register
  - c) a decision by the regulatory body which had the effect of preventing the person from practising the profession in question, where that decision has not been superseded, or
  - d) a decision by the regulatory body which had the effect of imposing conditions on the person's practice of the profession in question, where those conditions have not been lifted.
- 3.2.8 A person who is subject to:
- a) a disqualification order or disqualification undertaking under the Company Directors Disqualification Act 1986 or the Company Directors Disqualification (Northern Ireland) Order 2002, or
  - b) an order made under section 429(2) of the Insolvency Act 1986 (disabilities on revocation of administration order against an individual).
- 3.2.9 A person who has at any time been removed from the office of charity trustee or trustee for a charity by an order made by the Charity Commissioners for England and Wales, the Charity Commission, the Charity Commission for Northern Ireland or the High Court, on the grounds of misconduct or mismanagement in the administration of the charity for which the person was responsible, to which the person was privy, or which the person by their conduct contributed to or facilitated.



- 3.2.10 A person who has at any time been removed, or is suspended, from the management or control of any body under -
- a) section 7 of the Law Reform (Miscellaneous Provisions) (Scotland) Act 1990(f) (powers of the Court of Session to deal with the management of charities), or
  - b) section 34(5) or of the Charities and Trustee Investment (Scotland) Act 2005 (powers of the Court of Session to deal with the management of charities).

### **3.3 Chair**

3.3.1 The ICB Chair is to be appointed by NHS England, with the approval of the Secretary of State.

3.3.2 In addition to criteria specified at 3.1, this member must fulfil the following additional eligibility criteria:

- a) The Chair will be independent.
- b) They must meet the required criteria of the Job Description.
- c) They must be eligible to work in the UK.
- d) They must meet the 'fit and proper person test'.

3.3.3 Individuals will not be eligible if:

- a) They hold a role in another health and care organisation within the ICB area.
- b) Any of the disqualification criteria set out in 3.2 apply.
- c) They are an officer or employee of the Department of Health, or a member or employee of the Care Quality Commission.
- d) They operate (unless they are a statutory NHS Body), own or partially own an organisation that provides social services to the local authority.
- e) They are providers of health services commissioned by the NHS.
- f) They are the spouse or partner of a member of the ICB.

3.3.4 The term of office for the Chair will be a maximum of four years . The total number of terms a Chair may serve is two terms.

### **3.4 Deputy Chair and Senior Non-Executive Member**

3.4.1 The Deputy Chair is to be appointed from amongst the Non-Executive Members by the Board subject to the approval of the Chair.

3.4.2 No individual shall hold the position of Chair of the Audit Committee and Deputy Chair at the same time.

3.4.3 The Senior Non-Executive Member is to be appointed from amongst the Non-Executive Members of the Board subject to approval by the Chair.

### **3.5 Chief Executive**

- 3.5.1 The Chief Executive will be appointed by the Chair of the ICB in accordance with any guidance issued by NHS England.
- 3.5.2 The appointment will be subject to approval of NHS England in accordance with any procedure published by NHS England.
- 3.5.3 The Chief Executive must fulfil the following additional eligibility criteria:
- a) Be an employee of the ICB or a person seconded to the ICB who is employed in the civil service of the State or by a body referred to in paragraph 19(4)(b) of Schedule 1B to the 2006 Act.
  - b) They must meet the required criteria of the Job Description.
  - c) They must be eligible to work in the UK.
  - d) They must meet the 'fit and proper person regulation.
- 3.5.4 Individuals will not be eligible if:
- a) Any of the disqualification criteria set out in 3.2 apply
  - b) Subject to clause 3.5.3(a), they hold any other employment or executive role.
  - c) They are an officer or employee of the Department of Health, or a member or employee of the Care Quality Commission.
  - d) They operate ((unless they are a statutory NHS Body), own or partially own an organisation that provides social services to the local authority.
  - e) They are providers of health services commissioned by the NHS.
  - f) They are an employee of Lincolnshire County Council.
  - g) They are the spouse or partner of a member of the ICB.

### **3.6 Partner Member(s) - NHS Trusts and Foundation Trusts**

- 3.6.1 This Partner Member is nominated jointly by the NHS trusts and/or Foundation Trusts which provide services for the purposes of the health service within the ICB's area and meet the Forward Plan Condition or (if the Forward Plan Condition is not met, the Level of Services Provided Condition
- a) East Midlands Ambulance Service NHS Trust (EMAS)
  - b) Lincolnshire Community Health Services NHS Trust (LCHS)
  - c) Lincolnshire Partnership NHS Foundation Trust (LPFT)
  - d) United Lincolnshire Teaching Hospitals NHS Trust (ULHT)
- 3.6.2 This member must fulfil the eligibility criteria set out at 3.1 and also the following additional eligibility criteria
- a) Be an Executive Director of one of the NHS Trusts or FTs within the ICB's area
  - b) They must meet the required criteria of the Role Profile.
  - c) They must be eligible to work in the UK.
  - d) They must meet the 'fit and proper person test'.

3.6.3 Individuals will not be eligible if

- a) Any of the disqualification criteria set out in 3.2 apply.
- b) They are an officer or employee of the Department of Health, or a member or employee of the Care Quality Commission.
- c) They operate (unless they are a statutory NHS Body), own or partially own an organisation that provides social services to the local authority.
- d) They are an employee of Lincolnshire County Council.
- e) They are the spouse or partner of a member of the ICB.

3.6.4 This member will be appointed by the Chief Executive subject to the approval of the Chair.

3.6.5 The appointment process will be as follows:

- a) Joint Nomination:
  - When a vacancy arises, each eligible organisation listed at 3.6.1.a will be invited to make one nomination.
  - The nomination of an individual must be seconded by one other eligible organisations.
  - Eligible organisations may nominate individuals from their own organisation or another organisation.
  - All eligible organisations will be requested to confirm whether they jointly agree to nominate the whole list of nominated individuals, with a failure to confirm within 10 working days being deemed to constitute agreement. If they do agree, the list will be put forward to step b) below. If they don't, the nomination process will be re-run until majority acceptance is reached on the nominations put forward.
- b) Assessment, selection, and appointment subject to approval of the Chair under c)
  - The full list of nominees will be considered by a panel convened by the Chief Executive.
  - The panel will assess the suitability of the nominees against the requirements of the role (published before the nomination process is initiated) and will confirm that nominees meet the requirements set out in clause 3.6.2 and 3.6.3.
  - In the event that there is more than one suitable nominee, the panel will select the most suitable for appointment.
- c) Chair's approval
  - The Chair will determine whether to approve the appointment of the most suitable nominee as identified under b).

3.6.6 The term of office for this Partner Member will be three years or end at such time they cease to be eligible for appointment. The total number of terms

they may serve is two terms. The re-appointment of Board Members is set out at paragraph 3.16.

### **3.7 Partner Member(s) - Providers of Primary Medical Services**

3.7.1 This Partner Member is nominated jointly by providers of Primary Medical Services for the purposes of the health service within the Integrated Board area, and that are primary medical services contract holders responsible for the provision of essential services, within core hours to a list of registered persons for whom the ICB has core responsibility.

3.7.2 The list of relevant providers of primary medical services for this purpose is published as part of the Governance Handbook. The list will be kept up to date but does not form part of this Constitution.

3.7.3 This member must fulfil the eligibility criteria set out at 3.1 and also the following additional eligibility criteria:

- a) They must work in an organisation that holds a contract for core Primary Medical Services, including GP, Dental, Ophthalmic or Pharmaceutical Services and are commissioned by the ICB to provide services.
- b) They must be a registered practitioner working in the ICB footprint.
- c) They must meet the required criteria of the Role Profile.
- d) They must be eligible to work in the UK.
- e) They must meet the 'fit and proper person test'

3.7.4 Individuals will not be eligible if:

- a) Any of the disqualification criteria set out in 3.2 apply
- b) They are an officer or employee of the Department of Health, or a member or employee of the Care Quality Commission.
- c) They operate (unless they are a statutory NHS Body), own or partially own an organisation that provides social services to the local authority.
- d) They are an employee of Lincolnshire County Council.
- e) They are the spouse or partner of a member of the ICB.
- f) They are locum or agency staff members.

3.7.5 This member will be appointed by the Panel convened by the Chief Executive subject to the approval of the Chair.

3.7.6 The appointment process will be as follows:

- a) Joint Nomination
  - When a vacancy arises, each eligible organisation described at 3.6.1 and listed in the Governance Handbook will be invited to make one nomination.
  - The nomination of an individual must be seconded by one other eligible organisations and eligible organisations can 'second' a maximum of three nominations.

- Eligible organisations may nominate individuals from their own organisation or another organisation.
  - All eligible organisations will be requested to confirm whether they jointly agree to nominate the whole list of nominated individuals, with a failure to confirm within 10 working days being deemed to constitute agreement. If they do agree, the list will be put forward to step b) below. If they don't, (must be more than 50%) the nomination process will be re-run until majority acceptance is reached on the nominations put forward.
- b) Assessment, selection, and appointment subject to approval of the Chair under c).
- The full list of nominees will be considered by a panel convened by the Chief Executive.
  - The panel will assess the suitability of the nominees against the requirements of the role (published before the nomination process is initiated) and will confirm that nominees meet the requirements set out in clause 3.7.3 and 3.7.4.
  - In the event that there is more than one suitable nominee, the panel will select the most suitable for appointment.
- c) Chair's approval  
The Chair will determine whether to approve the appointment of the most suitable nominee as identified under b).

3.7.7 The term of office for this Partner Member will be three years or end at such time they cease to be eligible for appointment. The total number of terms they may serve is two terms. The re-appointment of Board Members is set out at paragraph 3.16.

### **3.8 Partner Member(s) - local authorities**

3.8.1 This Partner Member is nominated jointly by the local authority whose area coincides with, or include the whole or any part of, the ICB's area. The local authority is:

- a) Lincolnshire County Council

3.8.2 This member will fulfil the eligibility criteria set out at 3.1 and also the following additional eligibility criteria

- a) Be a senior Councillor or the Chief Executive or hold a relevant Executive level role of one of the bodies listed at 3.8.1
- b) They must meet the required criteria of the Role Profile
- c) They must be eligible to work in the UK.
- d) They must meet the 'fit and proper person test'.

3.8.3 Individuals will not be eligible if

- a) Any of the disqualification criteria set out in 3.2 apply
- b) They are an officer or employee of the Department of Health, or a member or employee of the Care Quality Commission.

- c) They operate (unless they are a statutory NHS Body), own or partially own an organisation that provides social services to the local authority.
- d) They are the spouse or partner of a member of the ICB.

3.8.4 This member will be appointed by the Chief Executive and subject to the approval of the Chair.

3.8.5 The appointment process will be as follows:

- a) Joint Nomination:
  - When a vacancy arises, each eligible organisation listed at 3.8.1.a will be invited to make one nomination.
  - Eligible organisations may nominate individuals from their own organisation or another organisation.
  - All eligible organisations will be requested to confirm whether they jointly agree to nominate the whole list of nominated individuals, with a failure to confirm within 10 working days being deemed to constitute agreement. If they do agree, the list will be put forward to step b) below. If they don't, the nomination process will be re-run until majority acceptance is reached on the nominations put forward.
- b) Assessment, selection, and appointment subject to approval of the Chair under c)
  - The full list of nominees will be considered by a panel convened by the Chief Executive
  - The panel will assess the suitability of the nominees against the requirements of the role (published before the nomination process is initiated) and will confirm that nominees meet the requirements set out in clause 3.8.2 and 3.8.3
- c) Chair's approval  
The Chair will determine whether to approve the appointment of the most suitable nominee as identified under b).

3.8.6 The term of office for this Partner Member will be three years or end at such time they cease to be eligible for appointment. The total number of terms they may service is two terms. The re-appointment of Board Members is set out at paragraph 3.16.

### **3.9 Medical Director**

3.9.1 This member will fulfil the eligibility criteria set out at 3.1 and also the following additional eligibility criteria

- a) Be an employee of the ICB or a person seconded to the ICB who is employed in the civil service of the State or by a body referred to in paragraph 19(4)(b) of Schedule 1B to the 2006 Act.
- b) Be a registered Medical Practitioner.
- c) They must meet the required criteria of the Job Description.
- d) They must be eligible to work in the UK.
- e) They must meet the 'fit and proper person test'.

3.9.2 Individuals will not be eligible if:

- a) Any of the disqualification criteria set out in 3.2 apply.
- b) On taking up the post they must not also be an officer or employee of the Department of Health, or a member or employee of the Care Quality Commission.
- c) They operate (unless they are a statutory NHS Body), own or partially own an organisation that provides social services to the local authority.
- d) They are providers of health services commissioned by the NHS.
- e) They are an employee of Lincolnshire County Council.
- f) They are the spouse or partner of a member of the ICB.

3.9.3 This member will be appointed by the Chief Executive as per the Assessment, Selection and Appointments Process set out under section 3.17 and subject to the approval of the Chair.

### **3.10 Director of Nursing (Chief Nurse)**

3.10.1 This member will fulfil the eligibility criteria set out at 3.1 and also the following additional eligibility criteria

- a) Be an employee of the ICB or a person seconded to the ICB who is employed in the civil service of the State or by a body referred to in paragraph 19(4)(b) of Schedule 1B to the 2006 Act
- b) Be a registered Nurse.
- c) They must meet the required criteria of the Job Description.
- d) They must be eligible to work in the UK.
- e) They must meet the 'fit and proper person test'.

3.10.2 Individuals will not be eligible if:

- a) Any of the disqualification criteria set out in 3.2 apply.
- b) They are an officer or employee of the Department of Health, or a member or employee of the Care Quality Commission.
- c) They operate (unless they are a statutory NHS Body), own or partially own an organisation that provides social services to the local authority.
- d) They are providers of health services commissioned by the NHS.
- e) They are an employee of Lincolnshire County Council.
- f) They are the spouse or partner of a member of the ICB.

3.10.3 This member will be appointed by the Chief Executive as per the Assessment, Selection and Appointments Process set out under section 3.17 and subject to the approval of the Chair.

### **3.11 Director of Finance**

- 3.11.1 This member will fulfil the eligibility criteria set out at 3.1 and also the following additional eligibility criteria
- a) Be an employee of the ICB or a person seconded to the ICB who is employed in the civil service of the State or by a body referred to in paragraph 19(4)(b) of Schedule 1B to the 2006 Act.
  - b) They must be a qualified accountant.
  - c) They must meet the required criteria of the Job Description.
  - d) They must be eligible to work in the UK.
  - e) They must meet the 'fit and proper person test'.

3.11.2 Individuals will not be eligible if:

- a) Any of the disqualification criteria set out in 3.2 apply.
- b) They are an officer or employee of the Department of Health, or a member or employee of the Care Quality Commission.
- c) They operate (unless they are a statutory NHS Body), own or partially own an organisation that provides social services to the local authority.
- d) They are providers of health services commissioned by the NHS.
- e) They are an employee of Lincolnshire County Council.
- f) They are the spouse or partner of a member of the ICB.

3.11.3 This member will be appointed by the Chief Executive as per the Assessment, Selection and Appointments Process set out under section 3.17 and subject to the approval of the Chair.

## **3.12 Non-Executive Members**

3.12.1 The ICB will appoint Six Non-Executive Members.

3.12.2 These members will be appointed by the ICB Chair as per the Assessment, Selection and Appointments Process set out under section 3.17.

3.12.3 These members will fulfil the eligibility criteria set out at 3.1 and also the following additional eligibility criteria:

- a) Not be an employee of the ICB or a person seconded to the ICB.
- b) Not hold a role in another health and care organisation in the ICS area.
- c) One shall have specific knowledge, skills and experience that makes them suitable for appointment to the Chair of the Audit Committee.
- d) Another should have specific knowledge, skills and experience that makes them suitable for appointment to the Chair of the Remuneration Committee.
- e) They must meet the required criteria of the Job Description.
- f) They must be eligible to work in the UK.
- g) They must meet the 'fit and proper person test'.



#### 3.12.4 Individuals will not be eligible if

- a) Any of the disqualification criteria set out in 3.2 apply.
- b) They hold a role in another health and care organisation within the ICB area.
- c) They are an officer or employee of the Department of Health, or a member or employee of the Care Quality Commission, or officer or employee of NHS England.
- d) They operate (unless they are a statutory NHS Body), own or partially own an organisation that provides social services to the local authority.
- e) They are providers of health services commissioned by the NHS.
- f) They are an employee of Lincolnshire County Council.
- g) They are the spouse or partner of a member of the ICB.

3.12.5 The term of office for a Non-Executive Member will normally be either two or three years and the total number of terms an individual may serve is two terms after which they will no longer be eligible for re-appointment. The Chair of the ICB will determine the length of term on appointment and subsequent re-appointment to the post.

3.12.6 Initial appointments may be for a shorter period in order to avoid all non-executive members retiring at once. Thereafter, new appointees will ordinarily retire on the date that the individual they replaced was due to retire in order to provide continuity.

3.12.7 Subject to satisfactory appraisal the Chair may approve the re-appointment of a Non-Executive Member up to the maximum number of terms permitted for their role.

### **3.13 Other Board Members**

#### **3.13.1 Executive Board Member Mental Health**

- a) This Member will fulfil the eligibility criteria set out at 3.1
- b) This Member will fulfil the criteria set out in 2.2.4
- c) Individuals will not be eligible if any of the disqualification criteria set out in 3.2 apply.
- d) This Member will be appointed by the Board subject to the approval of the Chair.

#### **3.13.2 Director for System Delivery**

This member will fulfil the criteria set out at 3.1 and also the following additional eligibility criteria

- a) Be an employee of the ICB or a person seconded to the ICB who is employed in the civil service of the State or by a body referred to in paragraph 19(4)(b) of Schedule IB to the 2006 Act.

- b) They must meet the required criteria of the Job Description.
- c) They must be eligible to work in the UK.
- d) They must meet the 'fit and proper person test'.

3.13.3 Individuals will not be eligible if:

- a) Any of the disqualification set out in 3.2 apply.
- b) They are an officer or employee of the Department of Health, or a member of employee of the Care Quality Commission.
- c) They operate (unless they are a statutory NHS Body), own or partially own an organisation that provides social services to the local authority.
- d) They are providers of health services commissioned by the NHS.
- e) They are an employee of Lincolnshire County Council.
- f) They are the spouse or partner of a member of the ICB.

3.13.4 This member will be appointed by the Chief Executive as per the Assessment, Selection and Appointments Process set out under section 3.17 and subject to approval of the Chair.

3.12.5 There are no other Board Members.

### **3.14 Board Members: Removal from Office**

3.14.1 Arrangements for the removal from office of Board members is subject to the term of appointment, and application of the relevant ICB policies and procedures.

3.14.2 With the exception of the Chair, Board members shall be removed from office if any of the following occurs:

- a) If they no longer fulfil the requirements of their role or become ineligible for their role as set out in this Constitution, regulations or guidance.
- b) If they fail to attend a minimum of 75% of the meetings to which they are invited unless agreed with the Chair in extenuating circumstances.
- c) If they fail to declare an interest, provide false information or participate in a decision-making process where special favour is shown to unfairly award a contract, or abuses his/her/their position for the purpose of benefit to themselves, family or friends.
- d) If they have behaved in a manner or exhibited conduct which has or is likely to be detrimental to the honour and interest of the ICB and is likely to bring the ICB into disrepute (including, but not limited to dishonesty, misrepresentation (knowingly or fraudulently, defamation of any member of the ICB (being slander or libel); abuse of position or seeking to manipulate a decision of the ICB in a matter that would ultimately be in favour of that member whether financially or otherwise.

- e) Are deemed to have failed to uphold the Nolan Principles of Public Life.
- f) Are subject to disciplinary proceedings by a regulator or professional body (to be suspended in the first instance in accordance with Section 3.13.3)
- g) They have failed or refused to undertake any training which the ICB requires to all Members to undertake.

3.14.3 Members may be suspended pending the outcome of an investigation into whether any of the matters in 3.14.2 apply.

3.14.4 Executive Directors (including the Chief Executive) will cease to be Board members if their employment in their specified role ceases, regardless of the reason for termination of the employment.

3.14.5 The Chair of the ICB may be removed by NHS England, subject to the approval of the Secretary of State.

3.14.6 If NHS England is satisfied that the ICB is failing or has failed to discharge any of its functions or that there is a significant risk that the ICB will fail to do so, it may:

3.13.6.1 terminate the appointment of the ICB's Chief Executive; and

3.13.6.2 direct the Chair of the ICB as to which individual to appoint as a replacement and on what terms.

### **3.15 Terms of Appointment of Board Members**

3.15.1 With the exception of the Chair and Non-Executive Members arrangements for remuneration and any allowances will be agreed by the Remuneration Committee in line with the ICB remuneration policy and any other relevant policies published on the ICB website at [www.lincolnshire.icb.nhs.uk](http://www.lincolnshire.icb.nhs.uk) and any guidance issued by NHS England or other relevant body. Remuneration for Chairs will be set by NHS England.

3.15.2 Remuneration for Non-Executive Director members will be set by a separate Remuneration Panel comprised of the following:

- ICB Chair
- Chief Executive
- Director of Finance
- Director of Nursing
- Medical Director
- HR Lead

3.15.3 Other terms of appointment will be determined by the Remuneration Committee.

3.15.4 Terms of appointment of the Chair will be determined by NHS England.

### **3.16 Re-appointment of Board Members**

Subject to satisfactory appraisal and the approval of the Chair, the ICB may approve the re-appointment of relevant Board Members up to the maximum number of terms permitted for their role.

### **3.17 Assessment, Selection and Appointment Process (subject to approval by the ICB Chair)**

#### **Director of Finance, Director of Nursing, Medical Director. Non-Executive Directors and Director for System Delivery**

- a) All vacancies for the roles will be assessed in the first instance to evaluate the balance of skills, knowledge and experience on the Board, and its diversity, and in the light of this evaluation, prepare a job description of the role and capabilities required for the role.
- b) The role will then be advertised in line with ICB HR processes seeking applications.
- c) Individuals who submit an application shall be assessed against:
  - How they have met the minimum full range of competencies (skills, knowledge, experience and attributes) detailed in the role description.
  - Whether they have any conflicts of interest which may exclude them from being a Board Member.
  - Whether they have confirmed their awareness of the Disqualification Criteria which would prevent them from being a Board Member.
  - Whether the proposed appointee meets the 'fit and proper person test'.
- d) The full list of applications will be considered by an Appointments Panel which will be convened by the Chief Executive.
- e) The Appointments Panel shall assess the suitability of the applications against the requirements of the role and decide which of the candidates will progress to interview stage. Candidates who do not meet the specified criteria will not be shortlisted.
- f) Where applicable, shortlisted applicants will be expected to participate in a stakeholder engagement event to meet groups of key stakeholders. Feedback from these sessions will be shared with the Appointments Panel.
- g) The Appointments Panel shall arrange a process to interview candidates that have successfully passed the initial assessment process. This will include a formal interview to ascertain whether the candidate will be able to contribute and play an active part in the Board meetings and discussions.

- h) The Interview Panel Members will be determined based on the type of role being interviewed.
- i) Only candidates assessed as having demonstrated the minimum full range of competencies set out in the role description will be put forward for appointment.
- j) In the event that there is more than one suitable nominee, the panel will select the most suitable for appointment.
- k) The Chair will determine whether to approve the appointment of the most suitable candidate as identified under j).

## **4 Arrangements for the Exercise of our Functions**

### **4.1 Good Governance**

- 4.1.1 The ICB will, at all times, observe generally accepted principles of good governance. This includes the Nolan Principles of Public Life and any governance guidance issued by NHS England.
- 4.1.2 The ICB has agreed a Code of Conduct and behaviours which sets out the expected behaviours that members of the Board and its Committees will uphold whilst undertaking ICB business. It also includes a set of principles that will guide decision making in the ICB. The ICB Code of Conduct and behaviours is published in the Governance Handbook.

### **4.2 General**

- 4.2.1 The ICB will:
- a) comply with all relevant laws including but not limited to the 2006 Act and the duties prescribed within it and any relevant regulations;
  - b) comply with directions issued by the Secretary of State for Health and Social Care;
  - c) comply with directions issued by NHS England;
  - d) have regard to statutory guidance including that issued by NHS England; and;
  - e) take account, as appropriate, of other documents, advice and guidance issued by relevant authorities, including that issued by NHS England;
  - f) respond to reports and recommendations made by local Healthwatch organisations within the ICB area.
- 4.2.2 The ICB will develop and implement the necessary systems and processes to comply with (a)-(f) above, documenting them as necessary in this Constitution, its Governance Handbook and other relevant policies and procedures as appropriate.

### **4.3 Authority to Act**

- 4.3.1 The ICB is accountable for exercising its statutory functions and may grant authority to act on its behalf to:
- a) any of its members or employees
  - b) a Committee or Sub-Committee of the ICB
- 4.3.2 Under section 65Z5 of the 2006 Act, the ICB may arrange with another ICB, an NHS trust, NHS foundation trust, NHS England, a local authority, combined authority or any other body prescribed in Regulations, for the ICB's functions to be exercised by or jointly with that other body or for the functions of that other body to be exercised by or jointly with the ICB.

Where the ICB and other body enters such arrangements, they may also arrange for the functions in question to be exercised by a Joint Committee of theirs and/or for the establishment of a pooled fund to fund those functions (section 65Z6). In addition, under section 75 of the 2006 Act, the ICB may enter partnership arrangements with a local authority under which the local authority exercises specified ICB functions or the ICB exercises specified local authority functions, or the ICB and local authority establish a pooled fund.

- 4.3.3 Where arrangements are made under section 65Z5 or section 75 of the 2006 Act the Board must authorise the arrangement, which must be described as appropriate in the SoRD.

#### **4.4 Scheme of Reservation and Delegation**

- 4.4.1 The ICB has agreed a Scheme of Reservation and Delegation (SoRD) which is published in full in the ICB Governance Handbook and on the ICB website and intranet.

- 4.4.2 Only the Board may agree the SoRD and amendments to the SoRD may only be approved by the Board.

- 4.4.3 The SoRD sets out:

- a) those functions that are reserved to the Board;
- b) those functions that have been delegated to an individual or to Committees and Sub-Committees;
- c) those functions delegated to another body or to be exercised jointly with another body, under section 65Z5 and 65Z6 of the 2006 Act.

- 4.4.4 The ICB remains accountable for all of its functions, including those that it has delegated. All those with delegated authority are accountable to the Board for the exercise of their delegated functions.

#### **4.5 Functions and Decision Map**

- 4.5.1 The ICB has prepared a Functions and Decision Map which sets out at a high level its key functions and how it exercises them in accordance with the SoRD.

- 4.5.2 The Functions and Decision Map is published on the ICB website at [www.lincolnshire.icb.nhs.uk](http://www.lincolnshire.icb.nhs.uk)

- 4.5.3 The map includes:

- a) Key functions reserved to the Board of the ICB;
- b) Commissioning functions delegated to Committees and individuals;

- c) Commissioning functions delegated under section 65Z5 and 65Z6 of the 2006 Act to be exercised by, or with, another ICB, an NHS trust, NHS foundation trust, local authority, combined authority or any other prescribed body;
- d) functions delegated to the ICB (for example, from NHS England).

## **4.6 Committees and Sub-Committees**

- 4.6.1 The ICB may appoint Committees and arrange for its functions to be exercised by such Committees. Each committee may appoint Sub-Committees and arrange for the functions exercisable by the Committee to be exercised by those Sub-Committees.
- 4.6.2 All Committees and Sub-Committees are listed in the SoRD.
- 4.6.3 Each Committee and Sub-Committee established by the ICB operates under Terms of Reference agreed by the Board. All Terms of Reference are published in the Governance Handbook.
- 4.6.4 The Board remains accountable for all functions, including those that it has delegated to Committees and Sub-Committees and therefore, appropriate reporting and assurance arrangements are in place and documented in terms of reference. All Committees and Sub-Committees that fulfil delegated functions of the ICB, will be required to:
  - a) Provide a written report to the Board or relevant Committee following each meeting outlining the key matters discussed, any points for escalation, assurance and/or decision and/or any new areas of risk. This may involve the relevant Chair of the Committee and/or Sub-Committee attending the Board or Committee meeting to present the report.
  - b) A Committee Chair may also request an Executive lead to attend the Audit Committee to discuss significant risks or matters or issue arising from internal audit reports in greater detail.
- 4.6.5 Any Committee or Sub-Committee established in accordance with clause 4.6 may consist of or include persons who are not ICB Members or employees.
- 4.6.6 All members of Committees and Sub-Committees that exercise the ICB commissioning functions will be approved by the Chair. The Chair will not approve an individual to such a Committee or Sub-Committee if they consider that the appointment could reasonably be regarded as undermining the independence of the health service because of the candidate's involvement with the private healthcare sector or otherwise.



4.6.7 All members of Committee and Sub-Committees are required to act in accordance with this Constitution, including the Standing Orders as well as the SFIs and any other relevant ICB policy.

4.6.8 The following Committees will be maintained:

- a) **Audit Committee:** This Committee is accountable to the board and provides an independent and objective view of the ICB's compliance with its statutory responsibilities. The Committee is responsible for arranging appropriate internal and external audit.

The Audit Committee will be chaired by a Non-Executive Member (other than the Chair and Deputy Chair of the ICB) who has the qualifications, expertise or experience to enable them to express credible opinions on finance and audit matters.

- b) **Remuneration Committee:** This Committee is accountable to the Board for matters relating to remuneration, fees and other allowances (including pension schemes) for employees and other individuals who provide services to the ICB.

The Remuneration Committee will be chaired by a non-executive member other than the Chair or the Chair of Audit Committee.

4.6.9 The Terms of Reference for each of the above Committees are published in the Governance Handbook.

4.6.10 The Board has also established a number of other Committees to assist it with the discharge of its functions. These Committees are set out in the SoRD and further information about these Committees, including Terms of Reference, are published in the Governance Handbook.

#### **4.7 Delegations made under section 65Z5 of the 2006 Act**

4.7.1 As per 4.3.2 The ICB may arrange for any functions exercisable by it to be exercised by or jointly with any one or more other relevant bodies (another ICB, NHS England, an NHS trust, NHS foundation trust, local authority, combined authority or any other prescribed body).

4.7.2 All delegations made under these arrangements are set out in the ICB Scheme of Reservation and Delegation and included in the Functions and Decision Map.

4.7.3 Each delegation made under section 65Z5 of the Act will be set out in a delegation arrangement which sets out the terms of the delegation. This may, for joint arrangements, include establishing and maintaining a pooled fund. The power to approve delegation arrangements made under this provision will be reserved to the Board.

- 4.7.4 The Board remains accountable for all the ICB's functions, including those that it has delegated and therefore, appropriate reporting and assurance mechanisms are in place as part of agreeing terms of a delegation and these are detailed in the delegation arrangements, summaries of which will be published in the Governance Handbook.
- 4.7.5 In addition to any formal joint working mechanisms, the ICB may enter into strategic or other transformation discussions with its partner organisations on an informal basis.

## **5 Procedures for Making Decisions**

### **5.1 Standing Orders**

5.1.1 The ICB has agreed a set of Standing Orders which describe the processes that are employed to undertake its business. They include procedures for:

- conducting the business of the ICB
- the procedures to be followed during meetings; and
- the process to delegate functions.

5.1.2 The Standing Orders apply to all Committees and Sub-Committees of the ICB unless specified otherwise in terms of reference which have been agreed by the Board.

5.1.3 A full copy of the Standing Orders is included in Appendix 2 and form part of this Constitution.

### **5.2 Standing Financial Instructions (SFIs)**

5.2.1 The ICB has agreed a set of SFIs which include the delegated limits of financial authority set out in the SoRD.

5.2.2 A copy of the SFIs is published in the ICB Governance Handbook and on the ICB website and intranet.

## 6 Arrangements for Conflict of Interest Management and Standards of Business Conduct

### 6.1 Conflicts of Interest

- 6.1.1 As required by section 14Z30 of the 2006 Act, the ICB has made arrangements to manage any actual and potential conflicts of interest to ensure that decisions made by the ICB will be taken and seen to be taken without being unduly influenced by external or private interest and do not, (and do not risk appearing to) affect the integrity of the ICB's decision-making processes.
- 6.1.2 The ICB has agreed policies and procedures for the identification and management of conflicts of interest which are published on the website [www.lincolnshire.icb.nhs.uk](http://www.lincolnshire.icb.nhs.uk) and included in the Governance Handbook.
- 6.1.3 All Board, Committee and Sub-Committee members, and employees of the ICB, will comply with the ICB policy on conflicts of interest in line with their terms of office and/ or employment. This will include but not be limited to declaring all interests on a register that will be maintained by the ICB.
- 6.1.4 All delegation arrangements made by the ICB under Section 65Z5 of the 2006 Act will include a requirement for transparent identification and management of interests and any potential conflicts in accordance with suitable policies and procedures comparable with those of the ICB.
- 6.1.5 Where an individual, including any individual directly involved with the business or decision-making of the ICB and not otherwise covered by one of the categories above, has an interest, or becomes aware of an interest which could lead to a conflict of interests in the event of the ICB considering an action or decision in relation to that interest, that must be considered as a potential conflict, and is subject to the provisions of this Constitution, the Standards of Business Conduct and Conflicts of Interest Policy.
- 6.1.6 The ICB has appointed the Audit Chair to be the Conflicts of Interest Guardian. In collaboration with the ICB's governance lead, their role is to:
- a) Act as a conduit for members of the public and members of the partnership who have any concerns with regards to conflicts of interest.
  - b) Be a safe point of contact for employees or workers to raise any concerns in relation to conflicts of interest.
  - c) Support the rigorous application of conflict of interest principles and policies.
  - d) Provide independent advice and judgment to staff and members where there is any doubt about how to apply conflicts of interest policies and principles in an individual situation.

- e) Provide advice on minimising the risks of conflicts of interest.

## **6.2 Principles**

6.2.1 In discharging its functions the ICB will abide by the following principles:

- a) Require all staff, officers and office holders to comply with the Conflicts of Interest Policy.
- b) Require relevant staff to proactively declare any interests at the point they become involved in decision making.
- c) Adopt a range of actions for managing any breaches of the Conflicts of Interest Policy.
- d) Ensure a record of action taken is maintained for an audit trail.
- e) Ensure that all relevant decision-making staff and all Board Members receive training on the identification and management of conflicts of interest, including completion of the mandatory model through the Electronic Staff Record (ESR).

## **6.3 Declaring and Registering Interests**

6.3.1 The ICB maintains registers of the interests of:

- a) Members of the ICB
- b) Members of the Board's Committees and Sub-Committees
- c) Its employees

6.3.2 In accordance with section 14Z30(2) of the 2006 Act registers of interest are published on the ICB website at [www.lincolnshire.icb.nhs.uk](http://www.lincolnshire.icb.nhs.uk)

6.3.3 All relevant persons as per 6.1.3 and 6.1.5 must declare any conflict or potential conflict of interest relating to decisions to be made in the exercise of the ICB's commissioning functions.

6.3.4 Declarations should be made as soon as reasonably practicable after the person becomes aware of the conflict or potential conflict and in any event within 28 days. This could include interests an individual is pursuing. Interests will also be declared on appointment and during relevant discussion in meetings.

6.3.5 All declarations will be entered in the registers as per 6.3.1

6.3.6 The ICB will ensure that, as a matter of course, declarations of interest are made and confirmed, or updated at least annually.

6.3.7 Interests (including gifts and hospitality) of decision-making staff will remain on the public register for a minimum of six months. In addition, the ICB will retain a record of historic interests and offers/receipt of gifts and hospitality for a minimum of six years after the date on which it expired. The ICB's published register of interests states that historic interests are retained by the

ICB for the specified timeframe and details of whom to contact to submit a request for this information.

- 6.3.8 Activities funded in whole or in part by third parties who may have an interest in ICB business such as sponsored events, posts and research will be managed in accordance with the ICB policy to ensure transparency and that any potential for conflicts of interest are well-managed.

#### **6.4 Standards of Business Conduct**

- 6.4.1 Board members, employees, Committee and Sub-Committee members of the ICB will at all times comply with this Constitution and be aware of their responsibilities as outlined in it. They should:
- a) act in good faith and in the interests of the ICB;
  - b) follow the Seven Principles of Public Life; set out by the Committee on Standards in Public Life (the Nolan Principles);
  - c) comply with the ICB Standards of Business Conduct and Conflicts of Interest Policy, and any requirements set out in the policy for managing conflicts of interest.
- 6.4.2 Individuals contracted to work on behalf of the ICB or otherwise providing services or facilities to the ICB will be made aware of their obligation to declare conflicts or potential conflicts of interest. This requirement will be written into their contract for services and is also outlined in the ICB's Standards of Business Conduct and Conflicts of Interest policy.

## **7 Arrangements for ensuring Accountability and Transparency**

### **7.1 Accountability and Transparency**

7.1.1 NHS England in a number of ways, including by upholding the requirement for transparency in accordance with paragraph 12(2) of Schedule 1B to the 2006 Act.

### **7.2 Principles**

The principles of transparency will be demonstrated by:

- a) The ICB Board holding meetings in public with papers published in advance in accordance with paragraph 7.3 below.
- b) Ensuring information addressed to the public, stakeholders, partners, and staff should be concise, easily accessible and easy to understand, and that clear and plain language and, additionally, where appropriate, visualisation be used.
- c) Provision of full and honest accounting of all facts, information, and context essential to ensuring an informed and equitable decision making process.
- d) The ICB acting in a manner that is open and honest.

### **7.3 Meetings and publications**

7.3.1 Board meetings, and Committees comprised entirely of Board Members will be held in public except where a resolution is agreed to exclude the public on the grounds that it is believed to not be in the public interest. Arrangements will accord with the Public Bodies (Admissions to meetings) Act 1960, the Freedom of Information Act 2000 and the General Data Protection Regulation (GDPR) 2018.

7.3.2 Papers and minutes of all meetings held in public will be published.

7.3.3 Annual accounts will be externally audited and published.

7.3.4 A clear complaints process will be published.

7.3.5 The ICB will comply with the Freedom of Information Act 2000 and with the Information Commissioner Office requirements regarding the publication of information relating to the ICB.

7.3.6 Information will be provided to NHS England as required.

7.3.7 The Constitution and Governance Handbook will be published as well as other key documents including but not limited to:

- Conflicts of interest policy and procedures
- Registers of interests
- Key policies

7.3.8 The ICB will publish, with our partner NHS trusts and NHS foundation trusts, a plan at the start of each financial year that sets out how the ICB proposes to exercise its functions during the next five years (the 'Joint Forward Plan')  
The plan will, in particular:

- a)
- a) describe the health services for which the ICB proposes to make arrangements in the exercise of its functions.
  - b) explain how the ICB proposes to discharge its duties under sections 14Z34 to 14Z45 (general duties of integrated care boards) and sections 223GB and 223N (financial duties).
  - c) set out any steps that the ICB proposes to take to implement the the Lincolnshire Joint Health and Wellbeing Strategy.
  - d) set out any steps that the ICB proposes to take to address the particular needs of children and young persons under the age of 25.
  - e) set out any steps that the ICB proposes to take to address the particular needs of victims of abuse (including domestic abuse and sexual abuse, whether of children or adults).

## **7.4 Scrutiny and Decision Making**

7.4.1 At least three Independent Non-Executive Members will be appointed to the Board including the Chair; and all of the Board and Committee members will comply with the Nolan Principles of Public Life and meet the criteria described in the Fit and Proper Person Test.

7.4.2 Healthcare services will be arranged in a transparent way, and decisions around who provides services will be made in the best interests of patients, taxpayers and the population, in line with the rules set out in the NHS Provider Selection Regime.

7.4.3 The ICB will comply with the requirements of the NHS Provider Selection Regime including:

- a) Compliance with the ICB Procurement Policy which reflects the Health Care Services (Provider Selection Regime) Regulations 2023.

7.4.4 The ICB will comply with local authority health overview and scrutiny requirements.



## 7.5 Annual Report

7.5.1 The ICB will publish an annual report in accordance with any guidance published by NHS England and which sets out how it has discharged its functions and fulfilled its duties in the previous financial year. An annual report must in particular:

- a) explain how the ICB has discharged its duties under section 14Z34 to 14Z45 and 14Z49 (general duties of integrated care boards)
- b) review the extent to which the ICB has exercised its functions in accordance with the plans published under section 14Z52 (forward plan) and section 14Z56 (capital resource use plan)
- c) review the extent to which the ICB has exercised its functions consistently with NHS England's views set out in the latest statement published under section 13SA(1) (views about how functions relating to inequalities information should be exercised), and
- d) review any steps that the ICB has taken to implement any joint local health and wellbeing strategy to which it was required to have regard under section 116B(1) of the Local Government and Public Involvement in Health Act 2007

## 8 Arrangements for Determining the Terms and Conditions of Employees

- 8.1.1 The ICB may appoint employees, pay them remuneration and allowances as it determines and appoint staff on such terms and conditions as it determines.
- 8.1.2 The Board has established a Remuneration Committee which is chaired by a Non-Executive member other than the Chair or Audit Chair.
- 8.1.3 The membership of the Remuneration Committee is determined by the Board. No employees may be a member of the Remuneration Committee, but the board ensures that the Remuneration Committee has access to appropriate advice by:
- a) Inviting advisers to attend Remuneration Committee meetings such as the Chief Executive, Director of Finance, Senior HR Advisor and external advisors.
- 8.1.4 The board may appoint independent members or advisers to the Remuneration Committee who are not members of the Board.
- 8.1.5 The main purpose of the Remuneration Committee is to exercise the functions of the ICB regarding remuneration included in paragraphs 18 to 20 of Schedule 1B to the 2006 Act. The terms of reference agreed by the Board are published in the Governance Handbook but in summary the main role is to:
- Confirm the ICB Pay Policy including adoption of any pay frameworks for all employees including senior managers/directors (including Board members) and Non-Executive Members.
- 8.1.6 The duties of the Remuneration Committee include:

For the Chief Executive, Directors and Other Very Senior Managers:

- a) Determine all aspects of remuneration including, but not limited to, salary (including any performance-related bonuses) pensions and cars.
- b) Determine arrangements for termination of any employment or other contractual terms and non-contractual terms.

For all staff:

- c) Determine the ICB pay policy (including the adoption of pay frameworks such as Agenda for Change).
- d) Oversee contractual arrangements.

- e) Determine the arrangements for termination payments and any special payments following scrutiny of their proper calculation and taking account of such national guidance as appropriate.

8.1.7 The ICB may make arrangements for a person to be seconded to serve as a member of the ICB's staff.

## 9 Arrangements for Public Involvement

9.1.1 In line with section 14Z54(2) of the 2006 Act the ICB has made arrangements to secure that individuals to whom services which are, or are to be, provided pursuant to arrangements made by the ICB in the exercise of its functions, and their carers and representatives, are involved (whether by being consulted or provided with information or in other ways) in:

- a) the planning of the commissioning arrangements by the Integrated Care Board
- b) the development and consideration of proposals by the ICB for changes in the commissioning arrangements where the implementation of the proposals would have an impact on the manner in which the services are delivered to the individuals (at the point when the service is received by them), or the range of health services available to them, and
- c) decisions of the ICB affecting the operation of the commissioning arrangements where the implementation of the decisions would (if made) have such an impact.

9.1.2 In line with section 14Z54 of the 2006 Act the ICB has made the following arrangements to consult its population on its system plan:

- a) Ensure that local consultation with the local population for whom the Integrated Care Board has core responsibilities is undertaken in the development of forward and system plans. In line with the national requirements this will include engaging or consulting, as appropriate, on service developments and proposals to change services. These activities may include open events, surveys and workshops/focus groups and formal public consultations.
- b) Provide the Health and Wellbeing Board with a draft of the plan or (as the case may be) the plan as revised.
- c) Consult the Health and Wellbeing Board on whether the draft takes proper account of the Joint Lincolnshire Health and Wellbeing Strategy published by it which relates to the period (or any part of the period) to which the plan relates.

9.1.3 The ICB has adopted the ten principles set out by NHS England for working with people and communities.

- a) Put the voices of people and communities at the centre of decision-making and governance, at every level of the ICS.
- b) Start engagement early when developing plans and feed back to people and communities how it has influenced activities and decisions.
- c) Understand your community's needs, experience and aspirations for health and care, using engagement to find out if change is having the desired effect.

- d) Build relationships with excluded groups – especially those affected by inequalities.
- e) Work with Healthwatch and the voluntary, community and social enterprise sector as key partners.
- f) Provide clear and accessible public information about vision, plans and progress to build understanding and trust.
- g) Use community development approaches that empower people and communities, making connections to social action.
- h) Use co-production, insight and engagement to achieve accountable health and care services.
- i) Co-produce and redesign services and tackle system priorities in partnership with people and communities.
- j) Learn from what works and build on the assets of all partners in the ICS – networks, relationships, activity in local places.

9.1.4 These principles will be used when developing and maintaining arrangements for engaging with people and communities.

9.1.5 These arrangements include:

- a) The ICB has agreed a set of arrangements for engaging with people and communities which are set out in the Policy for Public Involvement and Engagement.

## Appendix 1: Definitions of Terms Used in This Constitution

2006 Act	National Health Service Act 2006, as amended by the Health and Social Care Act 2012 and the Health and Care Act 2022
ICB Board	Members of the ICB
Area	The geographical area that the ICB has responsibility for, as defined in clause 1.3 of this Constitution
Chair	An individual appointed by NHSE to act as Chair of the ICB.
Chief Executive	An individual appointed by NHSE who is responsible for improving outcomes in population health and healthcare, working with colleagues, the community and partners to deliver a long-term strategy.
Committee	A Committee created and appointed by the ICB Board.
Director of Finance	A qualified accountant employed by the ICB and is responsible for all matters relating to the financial leadership and financial performance of the ICB.
Director of Nursing (Chief Nurse)	An individual employed by the ICB and, along with the Medical Director, is accountable for all matters relating to the development and delivery of the long-term clinical strategy of the ICB. They are also responsible for the delivery of statutory and non-statutory functions such as quality assurance/improvement and safeguarding.
Forward Plan Condition	The 'Forward plan Condition' as described in the Integrated Care Boards (Notification of Ordinary Members) Regulations 2022 and any associated statutory guidance.
Health Care Professional	An individual who is a member of a profession regulated by a body mentioned in section 25(3) of the National Health Service Reform and Health Care Professions Act 2002
Health Service Body	Health service body as defined by section 9(4) of the NHS Act 2006 or (b) NHS Foundation Trusts.

Integrated Collaborative Commissioning	Integrated Collaborative commissioning is when two or more NHS or ICS organisations come together to commission services which are delivered across the system for patients.
Integrated Care Partnership	The Joint Committee for the ICB's area established by the ICB and each responsible local authority whose area coincides with or falls wholly or partly within the ICB's area.
Joint Committee	A single Committee formed by two or more organisations to exercise functions on behalf of one or more organisations.
Level of Services Provided Conditions	The 'Level of Services Provided Conditions' as described in the Integrated Care Boards (Nomination of Ordinary Members) Regulations 2022 and any associated statutory guidance.
Medical Director	An individual employed by the ICB.
Non-Executive Member	An Independent individual who is appointed by the ICB (they are not an employee).
NHS England	NHS England leads the NHS in England and sets strategic direction for the NHS through the NHS Long Term Plan, and funds key priorities for improvement. NHS England was established by Parliament in 2012 as an independent statutory body.
Partner Members	<p>Some of the Ordinary Members will also be Partner Members. Partner Members bring knowledge and a perspective from their sectors and are appointed in accordance with the procedures set out in Section 3 having been nominated by the following:</p> <ul style="list-style-type: none"> <li>• NHS trusts and foundation trusts who provide services within the ICB's area and are of a prescribed description.</li> <li>• the primary medical services (general practice) providers within the area of the ICB and are of a prescribed description.</li> <li>• the local authorities which are responsible for providing Social Care and whose area coincides with or includes the whole or any part of the ICB's area.</li> </ul>

Place-Based Partnership	Place-based partnerships are collaborative arrangements responsible for arranging and delivering health and care services in a locality or community. They involve the Integrated Care Board, local government and providers of health and care services, including the voluntary, community and social enterprise sector, people and communities, as well as primary care provider leadership, represented by Primary Care Network clinical directors or other relevant primary care leaders.
Provider Collaborative	A provider collaborative is a formal collaboration built on common ground between one or more NHS body or ICS Organisation. Goals may range from sharing experiences and best practices to generating cost savings and operational or clinical efficiencies.
Ordinary Member	The Board of the ICB will have a Chair and a Chief Executive plus other members. All other members of the Board are referred to as Ordinary Members.
Register of Interests	<p>As required by section 14Z30 of the 2006 Act, the ICB has made arrangements to manage any actual and potential conflicts of interest and will Members of the ICB and will publish registers as follows:</p> <ul style="list-style-type: none"> <li>a) Members of the ICB</li> <li>b) Members of the Board's Committees and Sub-Committees</li> <li>c) Its employees</li> </ul>
Sub-Committee	A Committee created and appointed by and reporting to a Committee.



## **Appendix 2: Standing Orders**

### **1. Introduction**

- 1.1. These Standing Orders have been drawn up to regulate the proceedings of the NHS Lincolnshire Integrated Care Board so that the ICB can fulfil its obligations as set out largely in the 2006 Act (as amended). They form part of the ICB's Constitution.

### **2. Amendment and review**

- 2.1. The Standing Orders are effective from 1<sup>st</sup> July 2022.
- 2.2. Standing Orders will be reviewed on an annual basis or sooner if required.
- 2.3. Amendments to these Standing Orders will be made as per Section 1.6 in the Constitution for making amendments.
- 2.4. All changes to these Standing Orders will require an application to NHS England for variation to the ICB Constitution and will not be implemented until the constitution has been approved.

### **3. Interpretation, application and compliance**

- 3.1. Except as otherwise provided, words and expressions used in these Standing Orders shall have the same meaning as those in the main body of the ICB Constitution and as per the definitions in Appendix 1.
- 3.2. These standing orders apply to all meetings of the Board, including its Committees and Sub-Committees unless otherwise stated. All references to board are inclusive of Committees and Sub-Committees unless otherwise stated.
- 3.3. All members of the board, members of Committees and Sub-Committees and all employees, should be aware of the Standing Orders and comply with them. Failure to comply may be regarded as a disciplinary matter.
- 3.4. In the case of conflicting interpretation of the Standing Orders, the Chair, supported with advice from the Chief Executive, Chair of the Audit Committee and/or senior governance adviser will provide a settled view which shall be final.
- 3.5. All members of the Board, its Committees and Sub-Committees and all employees have a duty to disclose any non-compliance with these Standing Orders to the Chief Executive as soon as possible.

- 3.6. If, for any reason, these Standing Orders are not complied with, full details of the non-compliance and any justification for non-compliance and the circumstances around the non-compliance, shall be reported to the next formal meeting of the board for action or ratification and the Audit Committee for review.

## **4. Meetings of the Integrated Care Board**

### **4.1. Calling Board Meetings**

- 4.1.1. Meetings of the Board of the ICB shall be held at regular intervals at such times and places as the ICB may determine.
- 4.1.2. In normal circumstances, each member of the board will be given not less than one month's notice in writing of any meeting to be held. However:
- a) The Chair may call a meeting at any time by giving not less than 14 calendar days' notice in writing.
  - b) One third or more of the members of the Board may request the Chair to convene a meeting by notice in writing, specifying the matters which they wish to be considered at the meeting. If the Chair refuses, or fails, to call a meeting within seven calendar days of such a request being presented, the Board members signing the requisition may call a meeting by giving not less than 14 calendar days' notice in writing to all members of the Board specifying the matters to be considered at the meeting.
  - c) In emergency situations the Chair may call a meeting with two days' notice by setting out the reason for the urgency and the decision to be taken.
- 4.1.3. A public notice of the time and place of the meetings to be held in public and how to access the meeting shall be given by posting it at the offices of the ICB body and electronically at least three clear days before the meeting or, if the meeting is convened at shorter notice, then at the time it is convened.
- 4.1.4. The agenda and papers for meetings to be held in public will be published electronically in advance of the meeting excluding, if thought fit, any item likely to be addressed in part of a meeting is not likely to be open to the public.

### **4.2. Chair of a meeting**

- 4.2.1. The Chair of the ICB shall preside over meetings of the board.
- 4.2.2. If the Chair is absent or is disqualified from participating by a conflict of interest, the Deputy Chair shall preside over meetings in the Chair's stead.
- 4.2.3. If both the Chair and Deputy Chair are absent, or disqualified from participating, then a Member of the ICB shall be chosen by the assembled Members present, or by a majority of them, and shall preside over the meeting.

4.2.4. The Board shall appoint a Chair to all Committees and Sub-committees that it has established. The appointed Committee or Sub-committee Chair will preside over the relevant meeting. Terms of reference for Committees and Sub-Committees will specify arrangements for occasions when the appointed Chair is absent.

### **4.3. Agenda, supporting papers and business to be transacted**

4.3.1. The agenda for each meeting will be drawn up and agreed by the Chair of the meeting.

4.3.2. Except where the emergency provisions apply, supporting papers for all items must be submitted at least seven calendar days before the meeting takes place. The agenda and supporting papers will be circulated to all members of the Board at least five calendar days before the meeting.

4.3.3. Agendas and papers for meetings open to the public, including details about meeting dates, times and venues, will be published on the ICB's website at [www.lincolnshire.icb.nhs.uk](http://www.lincolnshire.icb.nhs.uk)

### **4.4. Petitions**

4.4.1. Where a valid petition has been received by the ICB it shall be included as an item for the agenda of the next meeting of the Board in accordance with the ICB policy as published in the Governance Handbook.

### **4.5. Nominated Deputies**

4.5.1. With the permission of the person presiding over the meeting, the Executive Directors and the Partner Members of the Board may nominate a deputy to attend a meeting of the Board that they are unable to attend. The deputy may speak and vote on their behalf, but the accountabilities and liabilities associated with the role may not be delegated to a deputy.

4.5.2. The decision of the person presiding over the meeting regarding authorisation of nominated deputies is final.

4.5.3. The Chair should be notified in writing prior to the meeting of the attendance of the nominated deputy.

4.5.4. Any deputy will need to ensure they meet the eligibility criteria for ICB Members and do not fall under the disqualification criteria as identified in Section 3.2. The confirmation to the Chair (as per 4.5.3 above) should also

provide assurance to the Chair that the nominated individual fulfils the requirements of the role and is not disqualified.

- 4.5.5. The decision of person presiding over the meeting regarding authorisation of nominated deputies is final.

## **4.6. Virtual attendance at meetings**

- 4.6.1. The Board of the ICB and its Committees and Sub-Committees may meet virtually using telephone conferencing facilities where available or on a virtual basis via digital systems such as Microsoft Teams or other electronic means when necessary unless the terms of reference prohibit this.

## **4.7. Quorum**

- 4.7.1. The quorum for meetings of the Board will be seven members (50%) including:

- a) Chair or Deputy Chair
- b) Either the Chief Executive or the Director of Finance
- c) Either the Medical Director or the Director of Nursing
- d) At least one independent member (Non-Executive Member)
- e) At least one Partner Member

- 4.7.2. For the sake of clarity:

- a) No person can act in more than one capacity when determining the quorum.
- b) An individual who has been disqualified from participating in a discussion on any matter and/or from voting on any motion by reason of a declaration of a conflict of interest, shall no longer count towards the quorum.
- c) A nominated deputy permitted in accordance with Standing Order 4.5 will count towards quorum for meetings of the Board.

- 4.7.3. For all Committees and Sub-Committees, the details of the quorum for these meetings and status of deputies are set out in the appropriate terms of reference.

## **4.8. Vacancies and defects in appointments**

- 4.8.1. The validity of any act of the ICB is not affected by any vacancy among members or by any defect in the appointment of any member<sup>111</sup>
- 4.8.2. In the event of vacancy or defect in appointment the following temporary arrangement for quorum will apply:

- Where circumstances are such that a Board Member may be absent for some time due to sickness (as an example) a formal deputy must be identified and approved by the ICB Chair.

## 4.9. Decision making

- 4.9.1. The ICB has agreed to use a collective model of decision-making that seeks to find consensus between system partners and make decisions based on unanimity as the norm, including working through difficult issues where appropriate.
- 4.9.2. Generally it is expected that decisions of the ICB will be reached by consensus. Should this not be possible then a vote will be required. The process for voting, which should be considered a last resort, is set out below:
- a) All members of the Board who are present at the meeting will be eligible to cast one vote each.
  - b) In no circumstances may an absent member vote by proxy. Absence is defined as being absent at the time of the vote, but this does not preclude anyone attending by teleconference or other virtual mechanism from participating in the meeting, including exercising their right to vote if eligible to do so.
  - c) For the sake of clarity, any additional Participants and Observers (as detailed within paragraph 2.3.2. of the Constitution) will not have voting rights.
  - d) A resolution will be passed if more votes are cast for the resolution than against it.
  - e) If an equal number of votes are cast for and against a resolution, then the Chair (or in their absence, the person presiding over the meeting) will have a second and casting vote.
  - f) Should a vote be taken, the outcome of the vote, and any dissenting views, must be recorded in the minutes of the meeting.

### Disputes

- 4.9.3. Where necessary Boards may draw on third party support such as peer review or mediation by NHS England and NHS Improvement.

### Urgent decisions

- 4.9.4. In the case of urgent decisions and extraordinary circumstances, every attempt will be made for the Board to meet virtually. Where this is not possible the following will apply.
- 4.9.5. The powers which are reserved or delegated to the Board, may for an urgent decision be exercised by the Chair and Chief Executive (or

relevant lead Director in the case of Committees) in consultation with one of the ICB Non-Executive Members and one Partner Member and subject to every effort having made to consult with as many members as possible in the given circumstances.

- 4.9.6. The exercise of such powers shall be reported to the next formal meeting of the Board for formal ratification and the Audit Committee for oversight.

## **4.10. Minutes**

- 4.10.1. The names and roles of all members present shall be recorded in the minutes of the meetings.
- 4.10.2. The minutes of a meeting shall be drawn up and submitted for agreement at the next meeting where they shall be signed by the person presiding at it.
- 4.10.3. No discussion shall take place upon the minutes except upon their accuracy or where the person presiding over the meeting considers discussion appropriate.
- 4.10.4. Where providing a record of a meeting held in public, the minutes shall be made available to the public.

## **4.11. Admission of public and the press**

- 4.11.1. In accordance with Public Bodies (Admission to Meetings) Act 1960 All meetings of the Board and all meetings of Committees which are comprised entirely of all Board Members, at which public functions are exercised will be open to the public.
- 4.11.2. The Board may resolve to exclude the public from a meeting or part of a meeting where it would be prejudicial to the public interest by reason of the confidential nature of the business to be transacted or for other special reasons stated in the resolution and arising from the nature of that business or of the proceedings or for any other reason permitted by the Public Bodies (Admission to Meetings) Act 1960 as amended or succeeded from time to time.
- 4.11.3. The person presiding over the meeting shall give such directions as he/she thinks fit with regard to the arrangements for meetings and accommodation of the public and representatives of the press such as to ensure that the Board's business shall be conducted without interruption and disruption.
- 4.11.4. As permitted by Section 1(8) Public Bodies (Admissions to Meetings) Act 1960 as amended from time to time) the public may be excluded from a meeting to suppress or prevent disorderly conduct or behaviour.

- 4.11.5. Matters to be dealt with by a meeting following the exclusion of representatives of the press, and other members of the public shall be confidential to the members of the Board.

## **5. Suspension of Standing Orders**

- 5.1. In exceptional circumstances, except where it would contravene any statutory provision or any direction made by the Secretary of State for Health and Social Care or NHS England, any part of these Standing Orders may be suspended by the Chair in discussion with the Chief Executive and Chair of the Audit Committee.
- 5.2. A decision to suspend Standing Orders together with the reasons for doing so shall be recorded in the minutes of the meeting.
- 5.3. A separate record of matters discussed during the suspension shall be kept. These records shall be made available to the Audit Committee for review of the reasonableness of the decision to suspend Standing Orders.

## **6. Use of seal and authorisation of documents**

### **6.1 Use of Seal**

The ICB may have a seal for executing documents where necessary. The following individuals or officers are authorised to authenticate its use by their signature:

- Chief Executive
- Director of Finance
- Any senior officer authorised by the Chief Executive

A seal is typically required to be applied to a document where it states, 'Executed as a Deed'.

The ICB seal will be kept in a secure location by the ICB Board Secretary.

### **6.2 Execution of a document by signature**

The following individuals are authorised to execute a document on behalf of the ICB by their signature:

- Chief Executive
- Director of Finance
- Any senior officer authorised by the Chief Executive